

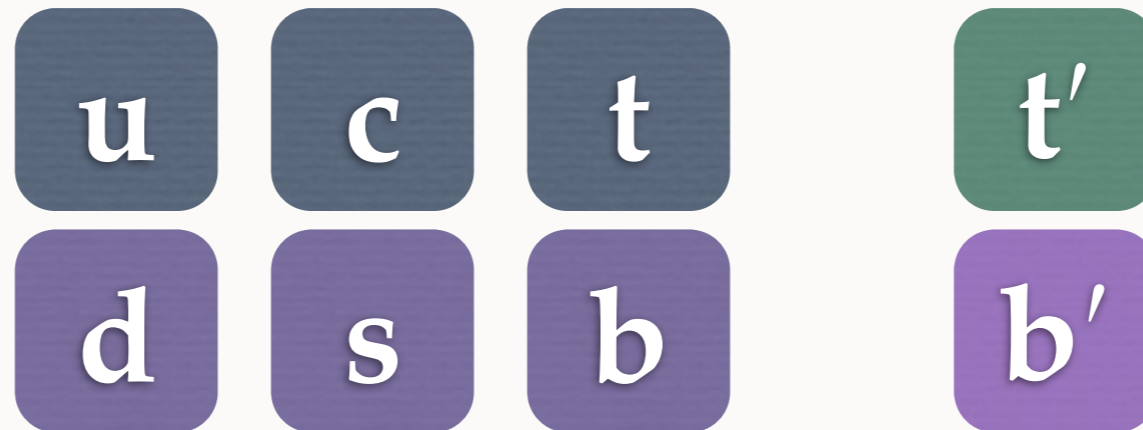
SEARCH FOR 4TH GENERATION QUARKS AT CMS

Kai-Feng Chen
National Taiwan University

LHC symposium in PSROC annual meeting
January 26th 2011, National Normal University, Taipei



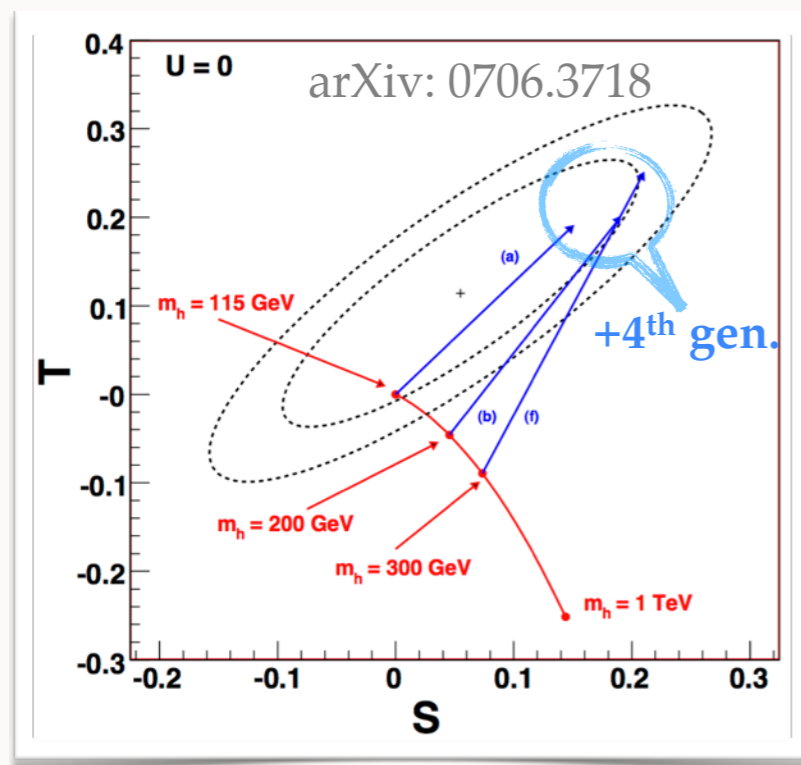
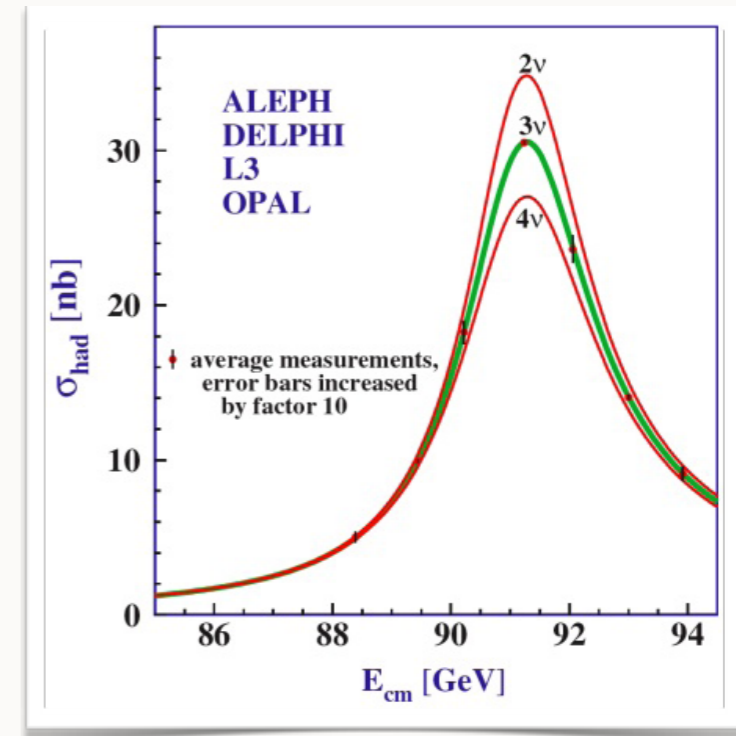
4TH GENERATIONS: WHY? WHY NOT?



- The possibility of 4th generation is not really excluded by the current experimental data.
- Small mass splitting between the 4th generation quarks is preferred: $|M_{t'} - M_{b'}| < M_W$.
- Flavor physics data and the tests for unitarity triangle provide some information regarding the “CKM4” matrix, but it is only weakly constrained due to the uncertainties.

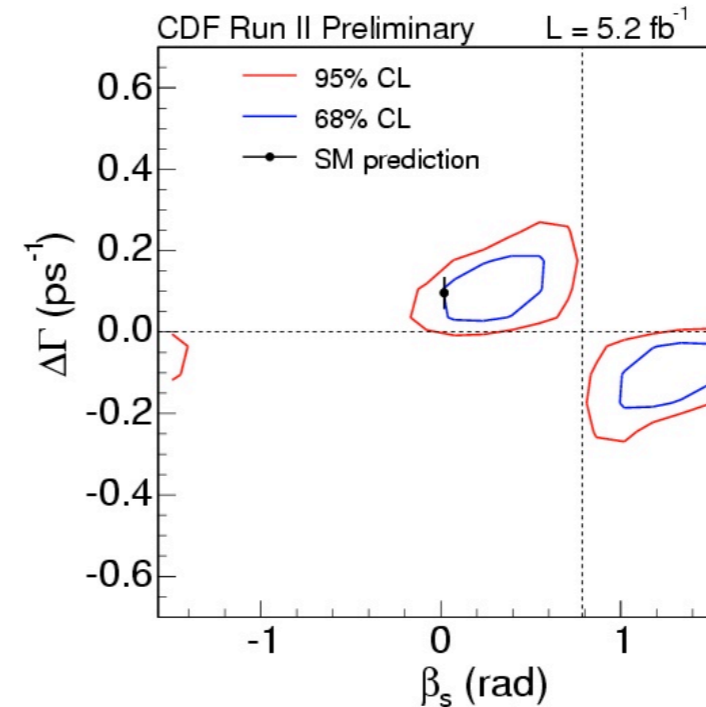
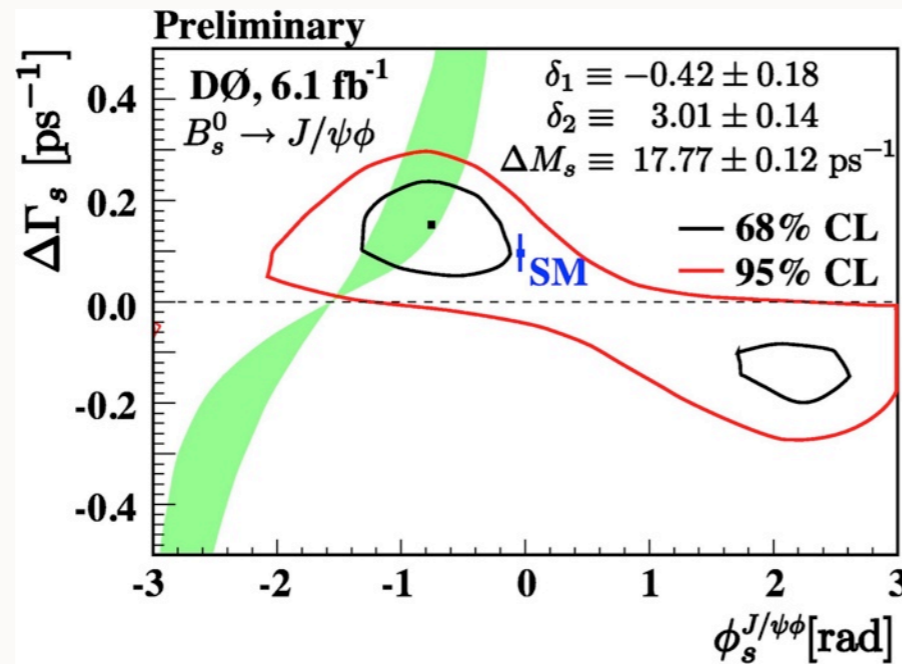
4TH GENERATIONS: WHY? WHY NOT?

- The direct measurement of invisible Z width from LEP: $N\nu = 2.92 \pm 0.05$, but it does not guarantee that $N(\text{gen}) = 3$ exactly, e.g. heavy neutrino with mass $> 0.5 M_Z$.



- The electroweak fits constrain the available phase space allowed for the 4th generations.
- Large impact on the Higgs sector: Heavy Higgs (up to 500 GeV) is allowed.

4TH GENERATIONS: WHY? WHY NOT?



SM: $\sin 2\Phi_{B_s} \sim 0$ \Rightarrow add $\sim 500 \text{ GeV } t'$: $\sin 2\Phi_{B_s} \sim -0.33$

References:
 Hou et. al. arXiv: 1004.2186

- Adding 4th generation quarks will pull down the $\sin 2\Phi_{B_s}$ value from the 3 generation SM. Agreement with data is improved, but the tension is reduced since recent Tevatron updates.
- Wait for the results from LHCb to verify it.

A BIG MOTIVATION: BAU

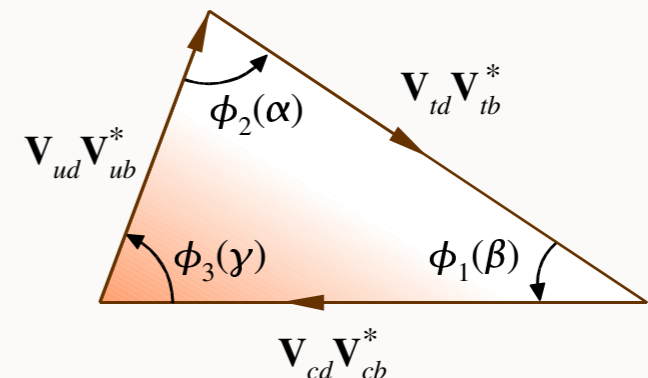
Ingredients of CPV in the Standard Model:

- #1: At least THREE generations;
- #2: Non-trivial CP phase; Non-trivial unitarity triangle.
- #3: Non-degenerate like-charge quarks.

Jarlskog Invariant

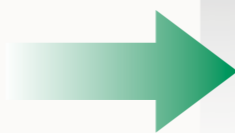
proportional to quarks masses and triangle area A :

$$J = (m_t^2 - m_u^2)(m_t^2 - m_c^2)(m_c^2 - m_u^2)(m_b^2 - m_d^2)(m_b^2 - m_s^2)(m_s^2 - m_d^2)A$$



The SM contributes only

$$J/T^{12} \sim 10^{-20}$$



$$\frac{n(B)}{n(\gamma)} = (5.1^{+0.3}_{-0.2}) \times 10^{-10}$$

(WMAP)

“Something” is definitely necessary to enlarge the asymmetry by $O(10^{10})!$

A BIG MOTIVATION: BAU

If we simply shift the invariant by one generation:

$$\begin{bmatrix} u & c & t \\ d & s & b \end{bmatrix} \begin{matrix} t' \\ b' \end{matrix} \longrightarrow u \begin{bmatrix} c & t & t' \\ s & b & b' \end{bmatrix}$$

$$J' = (m_{t'}^2 - m_c^2)(m_{t'}^2 - m_t^2)(m_t^2 - m_c^2)(m_{b'}^2 - m_s^2)(m_{b'}^2 - m_b^2)(m_b^2 - m_s^2)A'$$

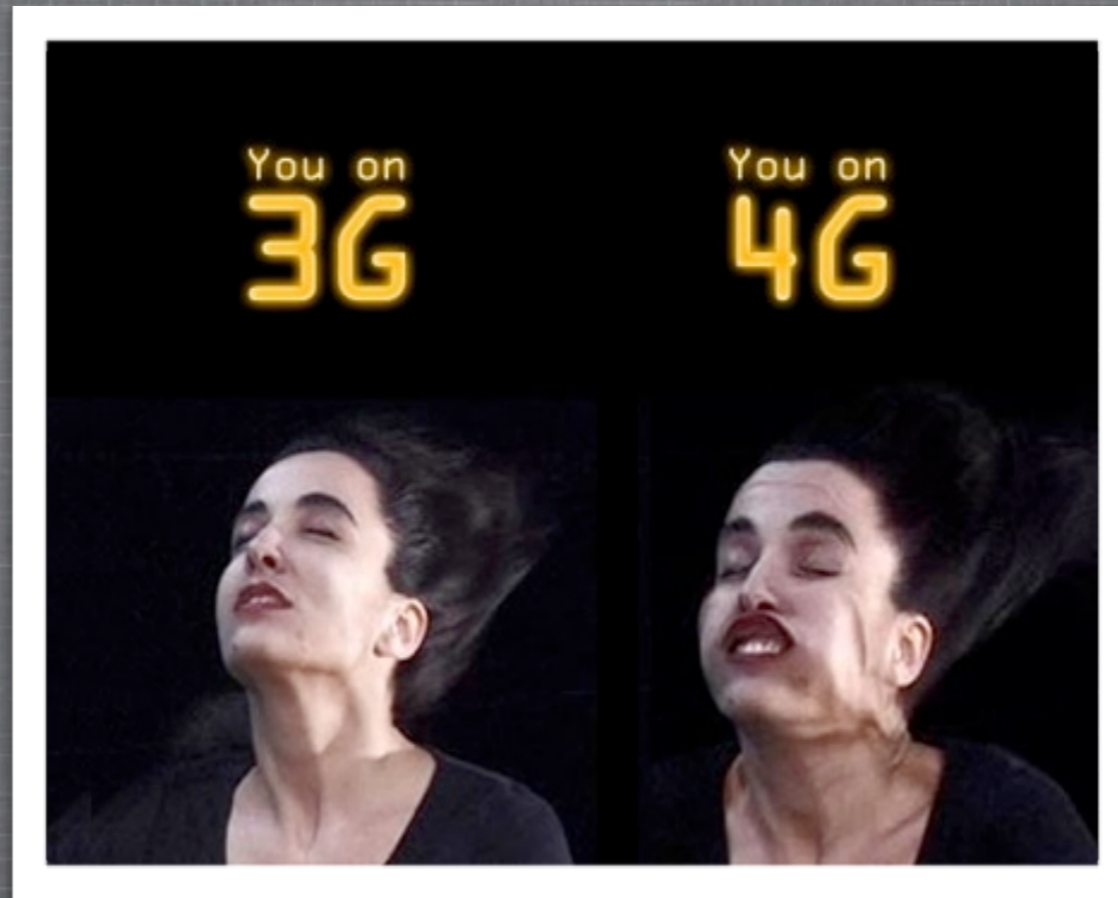
$$\longrightarrow \frac{J'}{J} \approx \frac{m_{t'}^2}{m_c^2} \left(\frac{m_{t'}^2}{m_t^2} - 1 \right) \frac{m_{b'}^4}{m_b^2 m_s^2} \frac{A'}{A}$$

References:
Hou arXiv: 0803.1234

By inserting $M(b', t') \sim 300 \sim 600 \text{ GeV} / c^2$,
it already gives us a huge boost on J ,
of $O(10^{13} \sim 10^{15})$

Replacing the unitary triangle
contributes a factor of 30.

A low cost solution: only needs heavier quarks!



FOUR STATEMENTS ABOUT THE FOURTH GENERATION

Ref. Holdem *et al.* arXiv: 0904.4698

- 1) The 4th generation is not excluded by EW precision data;
- 2) SM4 addresses some of the currently open questions;
- 3) SM4 can accommodate emerging possible hints of new physics;
- 4) LHC has the potential to discover or fully exclude SM4!

THE DECAY PATTERN

t'

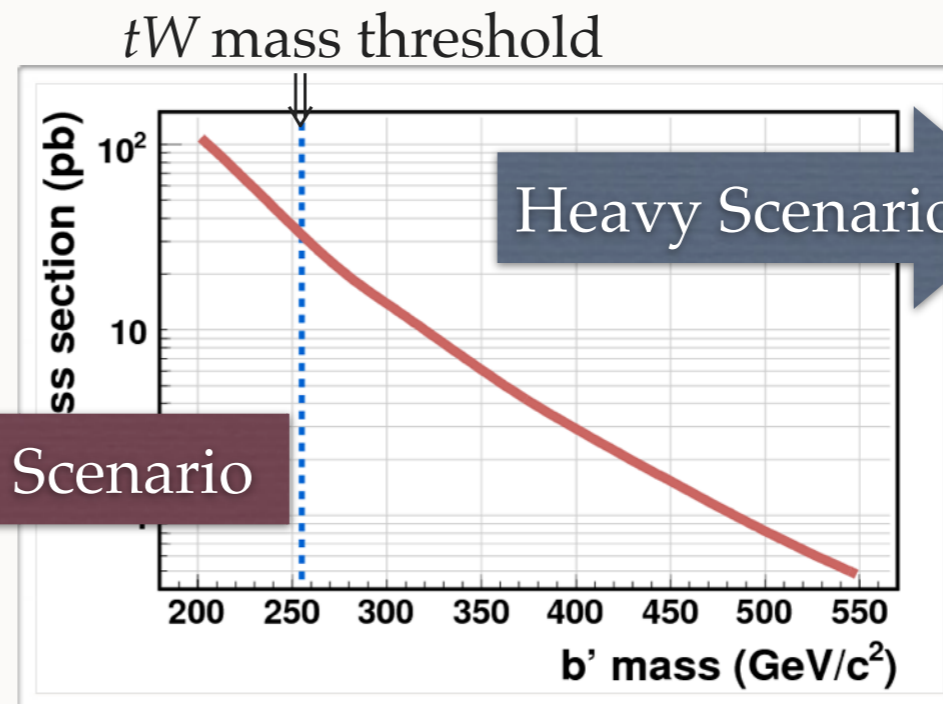
Mostly $t' \rightarrow bW$ (simply a heavier top quark) or $t' \rightarrow b'W$

b'

Depends on the mass hypothesis of b' :

Rich Signatures

- 1) Larger X-sec;
- 2) For sizable $|V_{cb'}|$
 $b' \rightarrow cW \gg t^{(*)}W^{(*)}$
- 3) Suppressed $|V_{cb'}|$
 $b' \rightarrow cW \ll t^{(*)}W^{(*)}$
- 4) FCNC:
 $b' \rightarrow bZ, bH$



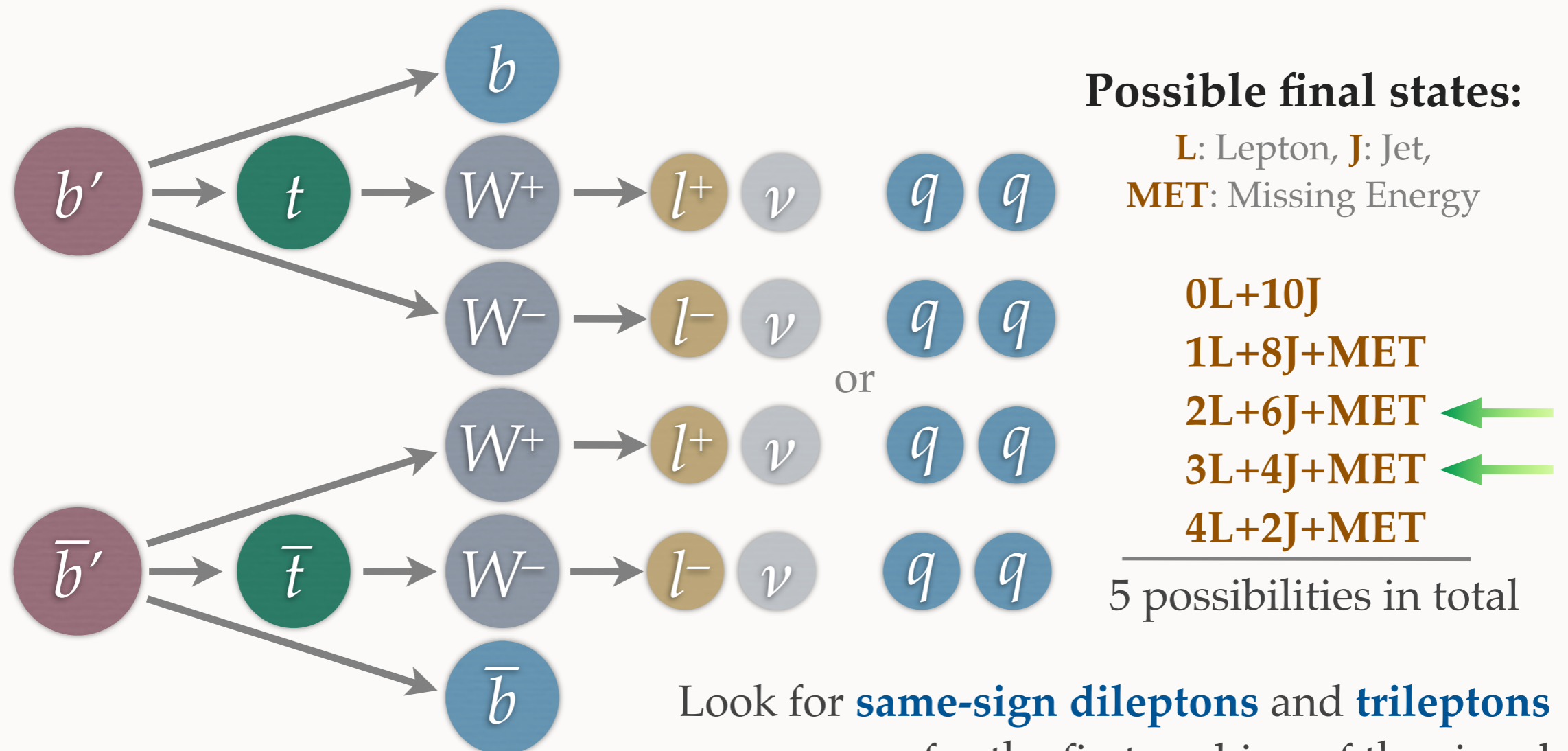
$b' \rightarrow tW$
dominance

- 1) Lower X-sec;
- 2) Larger mass coverage.

Today we only focus on the “heavy scenario”, $b' \rightarrow tW$ decays.

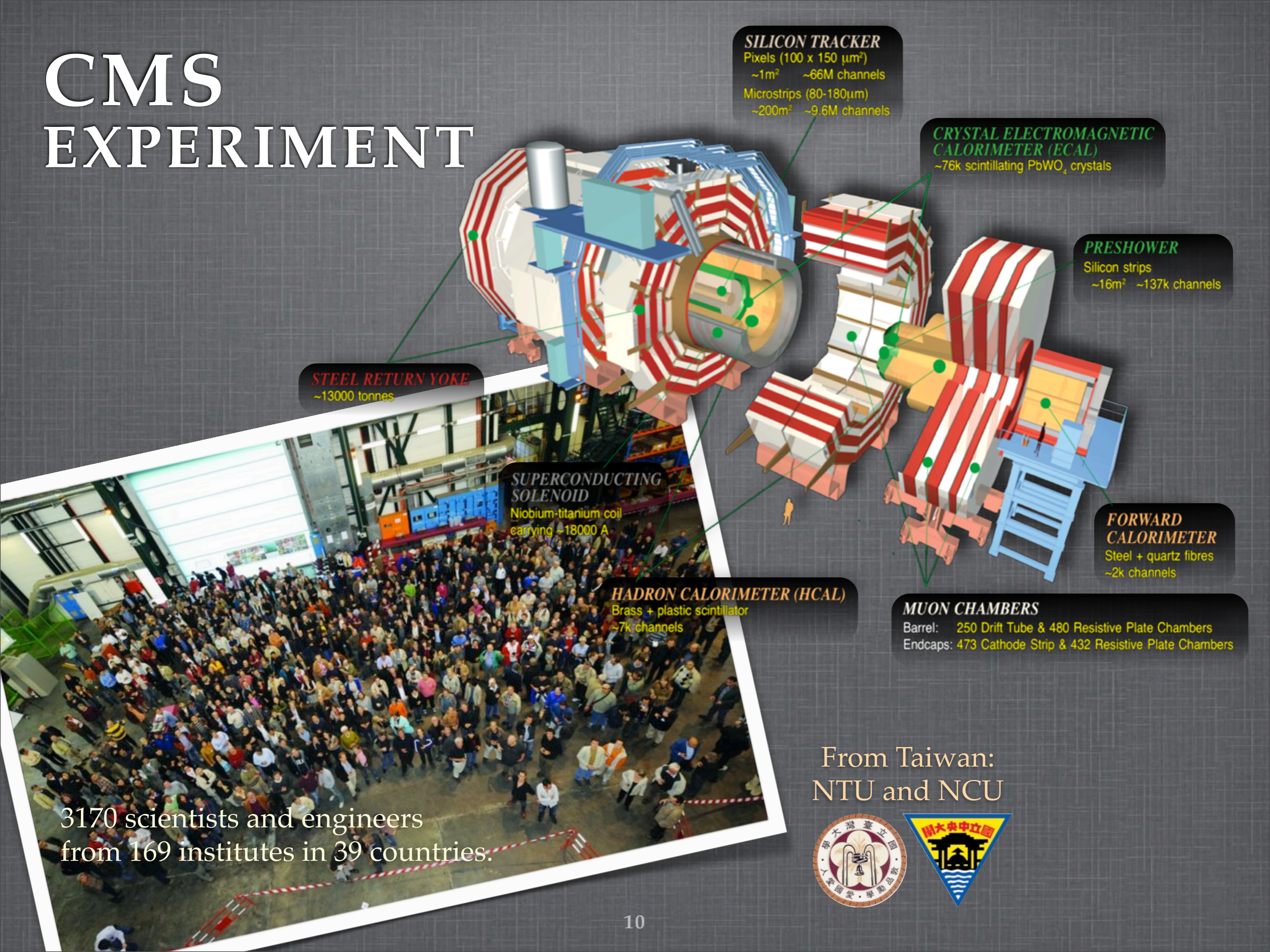
SIGNATURE OF HEAVY $b'b' \rightarrow tWtW$

The full decay chain: $b'b' \rightarrow tWtW \rightarrow bbW^+W^-W^+W^-$ (4 W-bosons + 2 b-jets)



Look for **same-sign dileptons** and **trileptons**
for the first probing of the signal

CMS EXPERIMENT



SILICON TRACKER
 Pixels (100 x 150 μm^2)
 ~1m² ~66M channels
 Microstrips (80-180 μm)
 ~200m² ~9.6M channels

CRYSTAL ELECTROMAGNETIC CALORIMETER (ECAL)
 ~76k scintillating PbWO₄ crystals

PRESHOWER
 Silicon strips
 ~16m² ~137k channels

STEEL RETURN YOKE
 ~13000 tonnes

SUPERCONDUCTING SOLENOID
 Niobium-titanium coil
 carrying ~18000 A

HADRON CALORIMETER (HCAL)
 Brass + plastic scintillator
 ~7k channels

FORWARD CALORIMETER
 Steel + quartz fibres
 ~2k channels

MUON CHAMBERS
 Barrel: 250 Drift Tube & 480 Resistive Plate Chambers
 Endcaps: 473 Cathode Strip & 432 Resistive Plate Chambers

3170 scientists and engineers
 from 169 institutes in 39 countries.

From Taiwan:
 NTU and NCU



ANALYSIS OF HEAVY $b'b' \rightarrow tWtW$

Ref. CMS PAS EXO-10-018

- **Data set analyzed:**

34 pb⁻¹ at 7 TeV recorded by the CMS detector.

- **Trigger:** double electron trigger or single muon trigger.

- **Lepton selections:**

- ➔ **Muons:** cut-based ID, isolated from other activities, $p_T > 20 \text{ GeV}/c$.

- ➔ **Electrons:** cut-based ID, isolated from other activities, $p_T > 20 \text{ GeV}/c$.

Requiring exact 2L with the same charge, or 3L in the final state.

- **Jet selections:** Anti- K_T algorithm $R = 0.5$ with particle flow candidates.

- ➔ **Same-sign 2L:** at least 4 or more jets $p_T > 25 \text{ GeV}/c$.

- ➔ **3L:** at least 2 or more jets $p_T > 25 \text{ GeV}/c$.

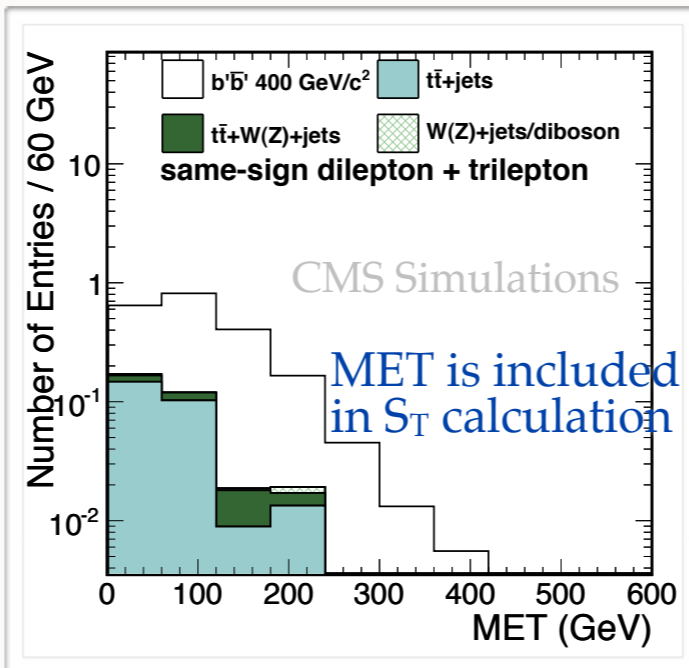
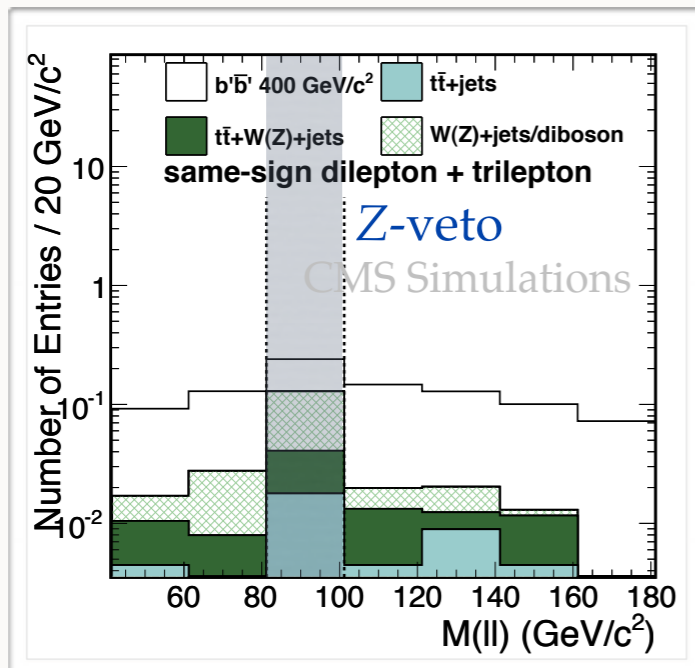
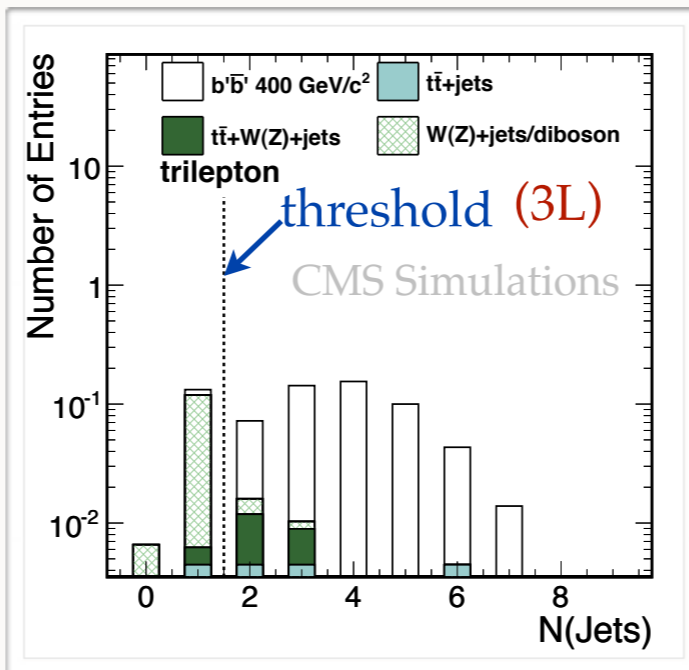
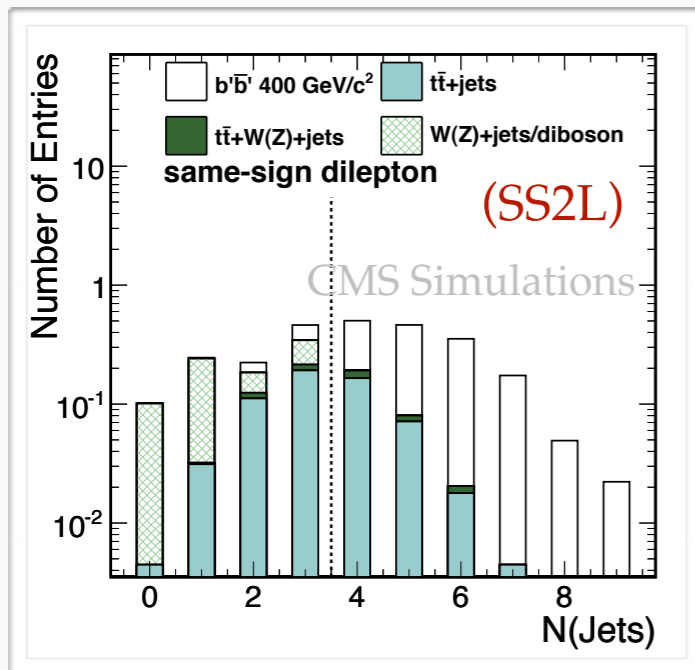
- **Other requirements:**

- ➔ A Z-boson veto: $|M(\ell\ell) - M_Z| > 10 \text{ GeV}/c^2$.

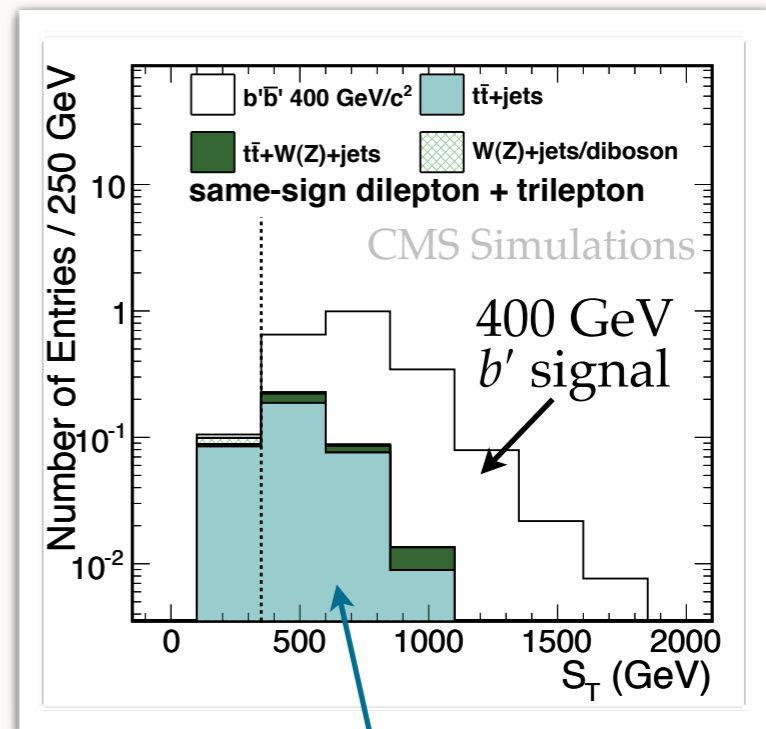
- ➔ Objects isolation: $\Delta R(e, \mu) > 0.1$ and $\Delta R(\text{jet}, \ell) > 0.4$.

- ➔ $S_T [= \text{MET} + \Sigma p_T(\text{jets}) + \Sigma p_T(\text{leptons})] > 350 \text{ GeV}$

RESULTING FIGURES (MC Distributions)



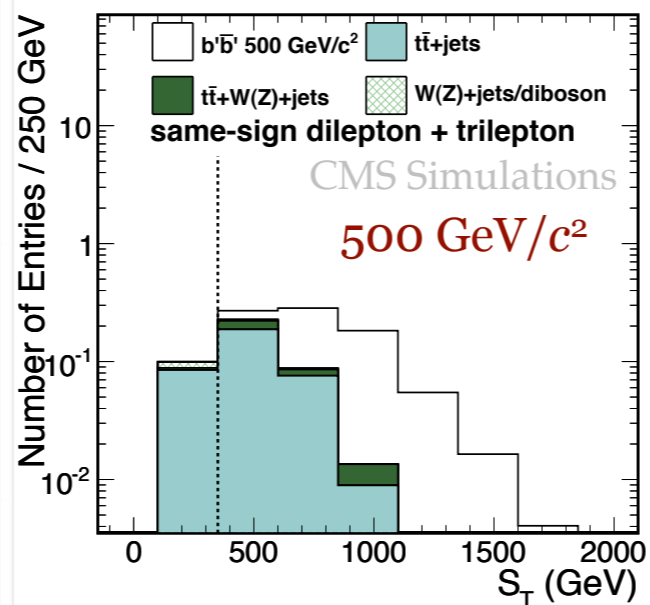
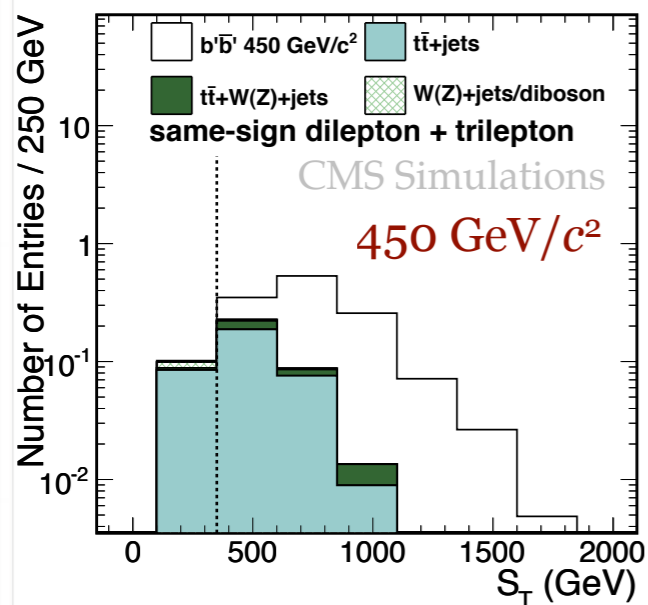
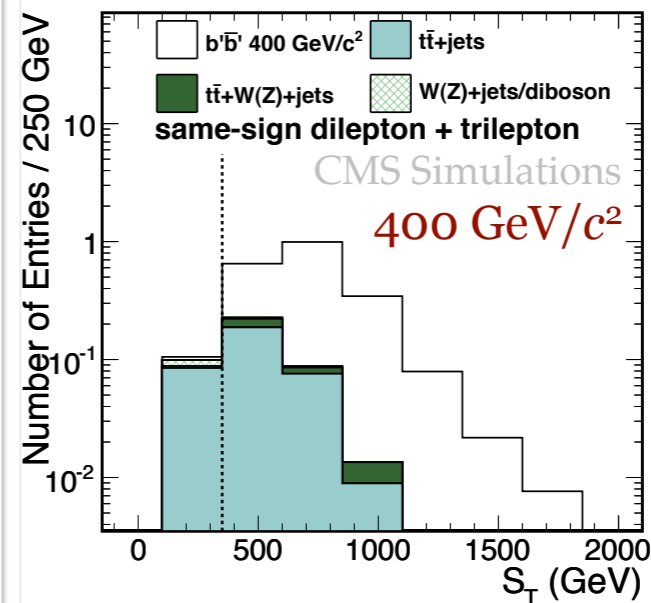
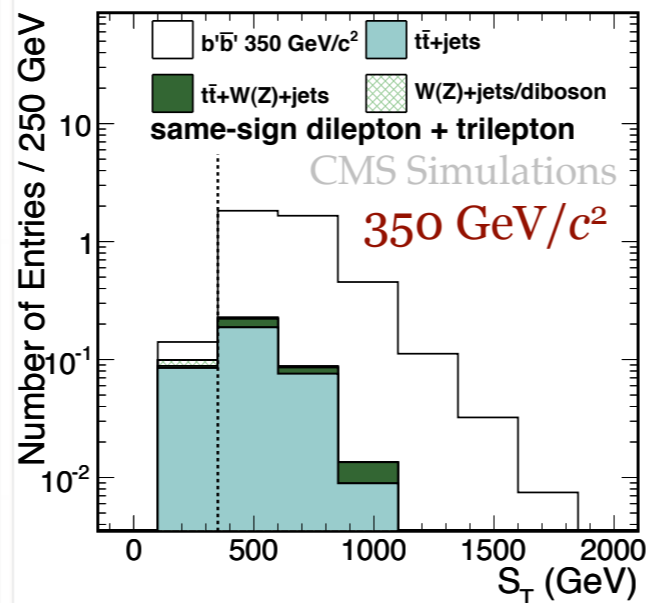
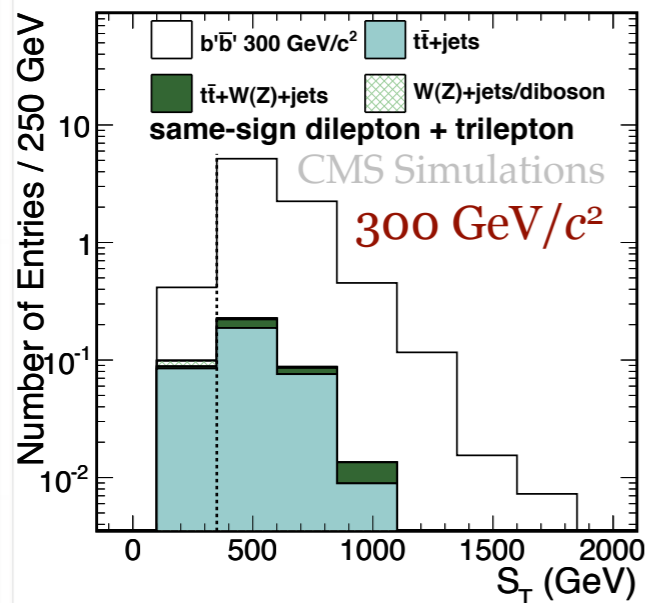
Signal Observable:
 $S_T = MET + \sum p_T(\text{jet}) + \sum p_T(\text{lep})$



background, mainly $t\bar{t}$ +jets

MC distributions are normalized to 34 pb⁻¹

RESULTING FIGURES (MC Distributions)



b' mass (GeV/c ²)	300	350	400	450	500
N_S	7.7	3.8	1.8	0.91	0.49
N_B	0.33 [background sum]				

EXPECTED YIELDS

b' Signal

<i>b'</i> mass (GeV/ c^2)	Cross section (pb)	Yield	S/N
300	7.29 (NLO)	7.7	23
350	2.94 (NLO)	3.8	12
400	1.30 (NLO)	1.8	5.5
450	0.617 (NLO)	0.91	2.8
500	0.310 (NLO)	0.49	0.9

- S/N is high, up to 300~400 GeV/ c^2 *b'* masses.
- Background is dominated by the *tt*+jets events.

Background Sources

Process	Cross section (pb)	Yield
<i>tt</i> +jets	194 (CMS)	0.27
<i>tt</i> +W (+j)	0.144 (LO)	0.033
<i>tt</i> +Z(+j)	0.094 (LO)	0.016
W+jets	29850 (CMS)	<0.11
Z+jets	2919 (CMS)	<0.09
WW	43 (NLO)	<0.012
WZ	18 (NLO)	<0.005
ZZ	5.9 (NLO)	0.006
Same-sign WW+ <i>jj</i>	0.15 (LO)	0.002
MC background expectation	-	0.33

QCD contributions are estimated to be small (<0.09)

BACKGROUND ESTIMATION WITH DATA

Background Types

- Find a sign-flipped electron
- Find an extra (fake) lepton

Select

Opposite-sign dilepton events

Normalized by electron charged mis-identification rate; measured using $Z \rightarrow ee$ data.

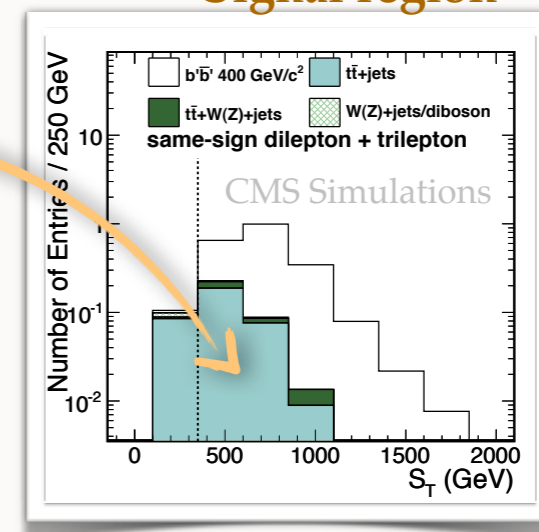
Select

Same-sign dilepton events with loosened lepton criteria

N_B

Normalized by lepton loose-to-tight ratio measured from data.

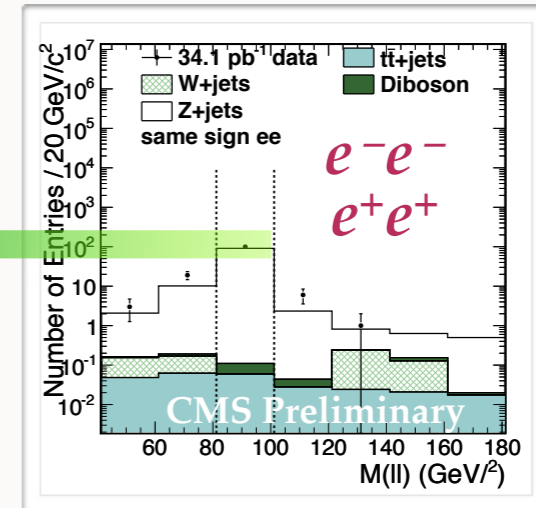
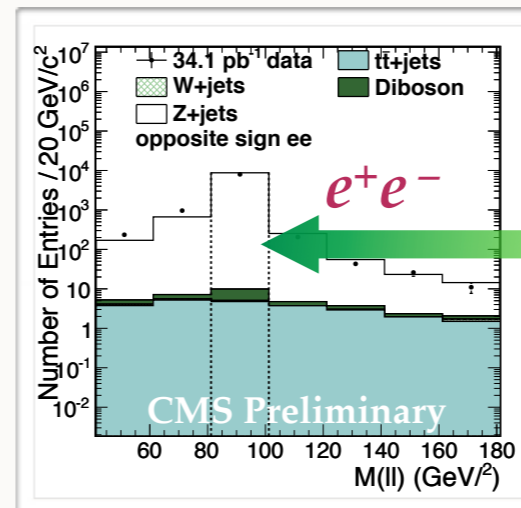
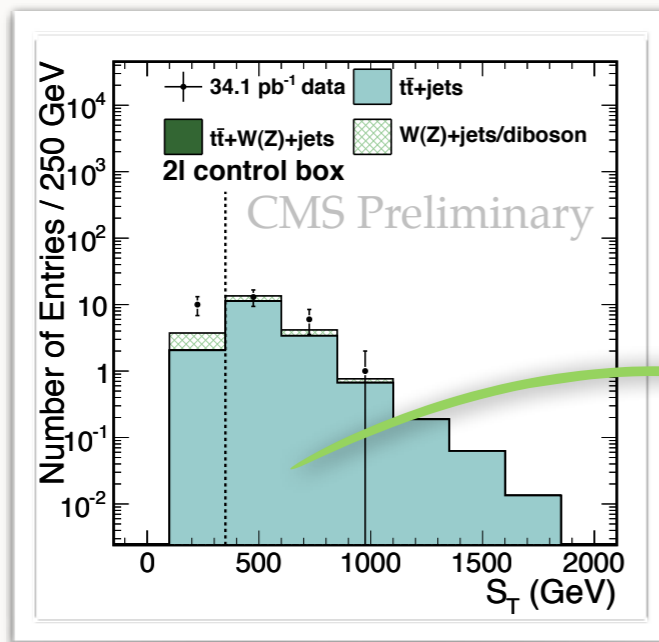
Signal region



Use a data-driven based estimation instead of the MC expected value (ie. the 0.33 events)

BACKGROUND ESTIMATION WITH DATA

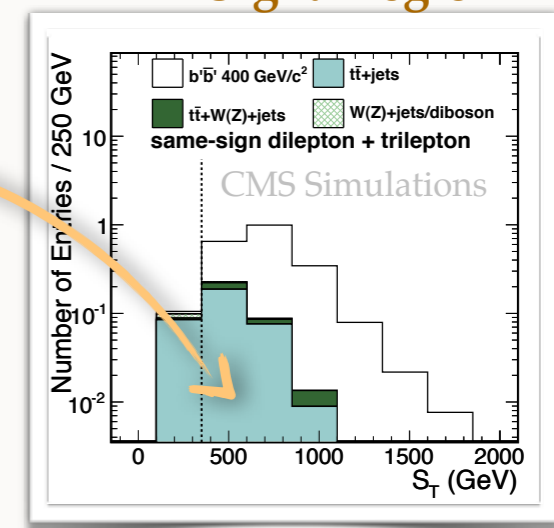
Opposite-sign dilepton events
(and preserve all other criteria)



✗ electron charged mis-ID rate

N_B

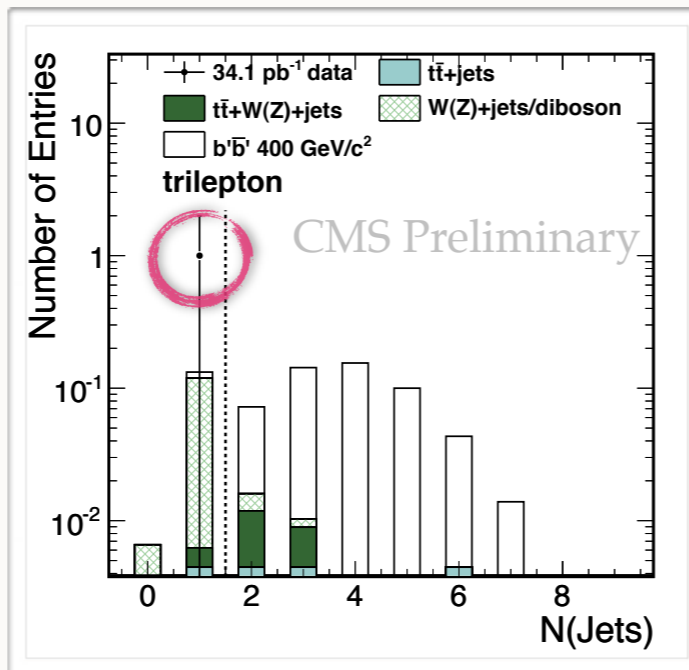
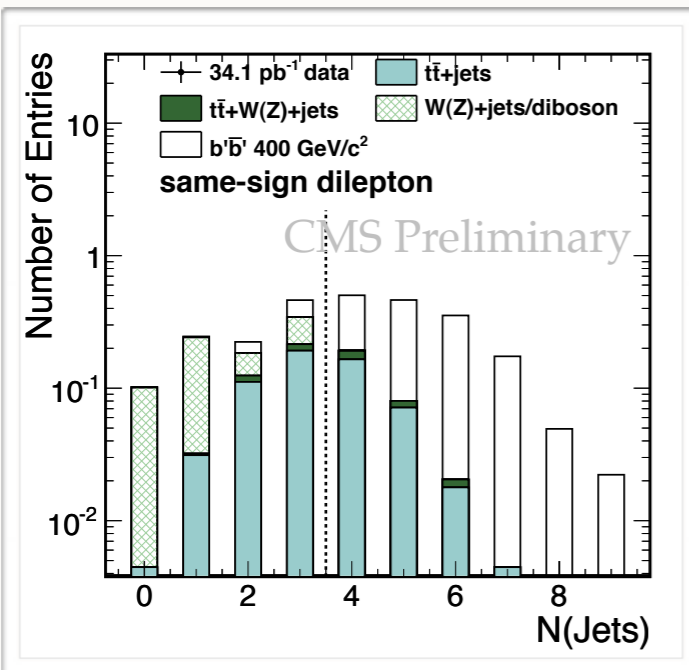
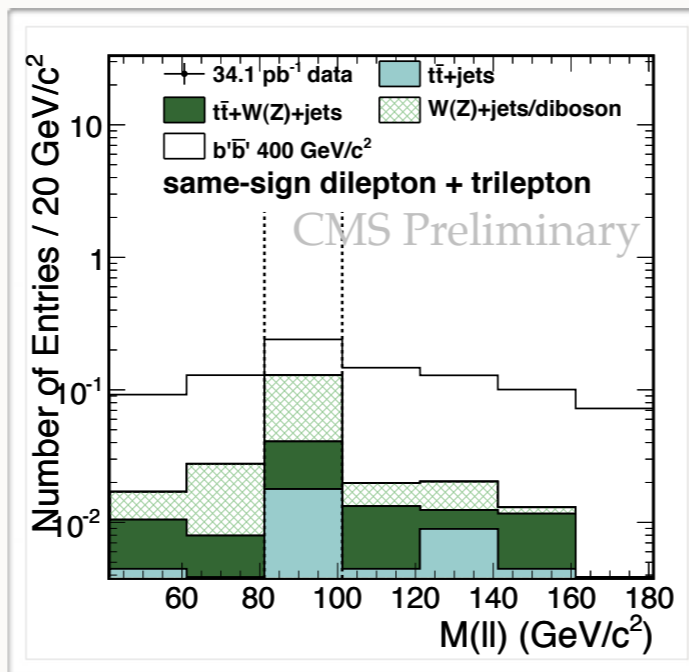
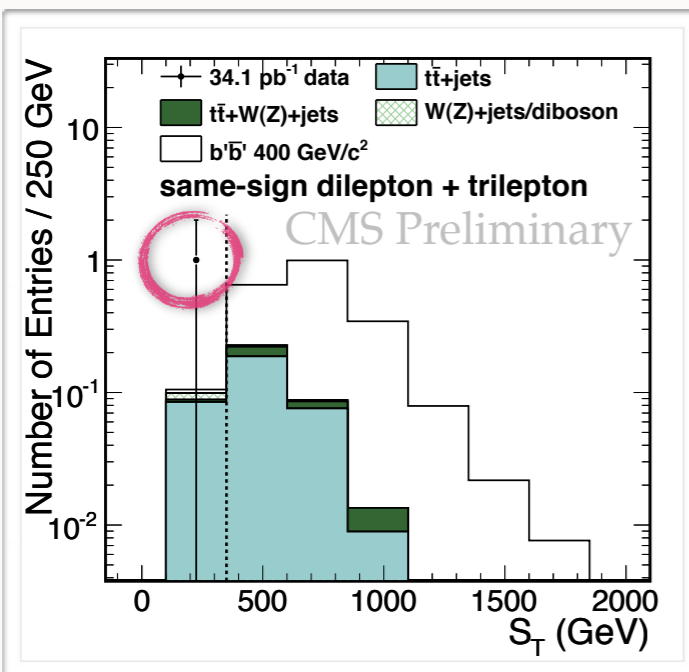
Signal region



- This estimation has little dependency on luminosity and background cross section.
- Systematic uncertainty from a MC closure test.

DATA RESULTS

Only two events failed with the last step selection



Zero event found
in the signal region

	Yields
b'(400 GeV/c ²)	1.8
MC expected background	0.33
Data (34 pb ⁻¹)	0
Estimated background (data-driven)	0.32 ± 0.21

Full systematic uncertainties included

CMS Experiment at LHC, CERN
Data recorded: Tue Sep 28 10:16:50 2010 CEST
Run/Event: 146807 / 341774493

CMS Preliminary

$S_T = 363 \text{ GeV}$

$\text{MET} = 101 \text{ GeV}$

$\mu^- (p_T = 55.3 \text{ GeV}/c)$

$\text{Jet} (p_T = 72.8 \text{ GeV}/c)$

$e^+ (p_T = 69.9 \text{ GeV}/c)$

$e^+ (p_T = 63.8 \text{ GeV}/c)$

Trilepton channel

CMS Experiment at LHC, CERN
Data recorded: Thu Sep 30 04:51:51 2010 CEST
Run/Event: 146944 / 609465692

CMS Preliminary

$\mu^- (p_T = 22.8 \text{ GeV}/c, \eta = 0.6)$

$\text{Jet} (p_T = 54.2 \text{ GeV}/c)$

$e^- (p_T = 34.7 \text{ GeV}/c, \eta = 1.6)$

$\text{MET} = 48.6 \text{ GeV}$

$S_T = 265 \text{ GeV}$

$\text{Jet} (p_T = 25.5 \text{ GeV}/c)$

$\text{Jet} (p_T = 33.7 \text{ GeV}/c)$

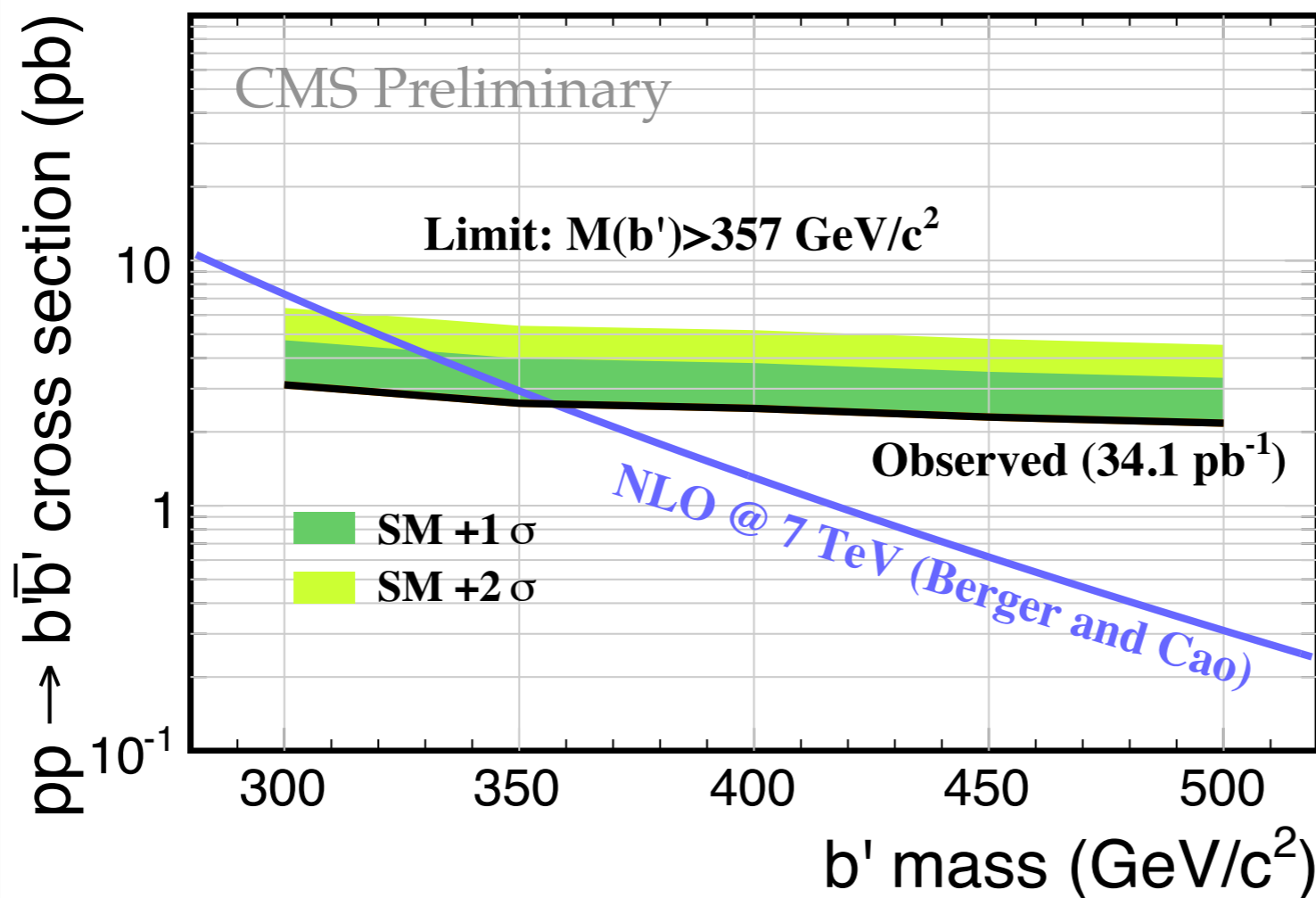
$\text{Jet} (p_T = 45.8 \text{ GeV}/c)$

Same-sign dilepton channel

*Two interesting events,
but failed with the last step selection*

EXCLUSION LIMITS

- No signal observed in data: we set the exclusion limit at 95% C.L.
- We use a Bayesian limit for null hypothesis tests, with all the systematic uncertainties included:



Observed limit is consistent with the (median) expected limit

b' production cross section as a function of its mass.

SUMMARY

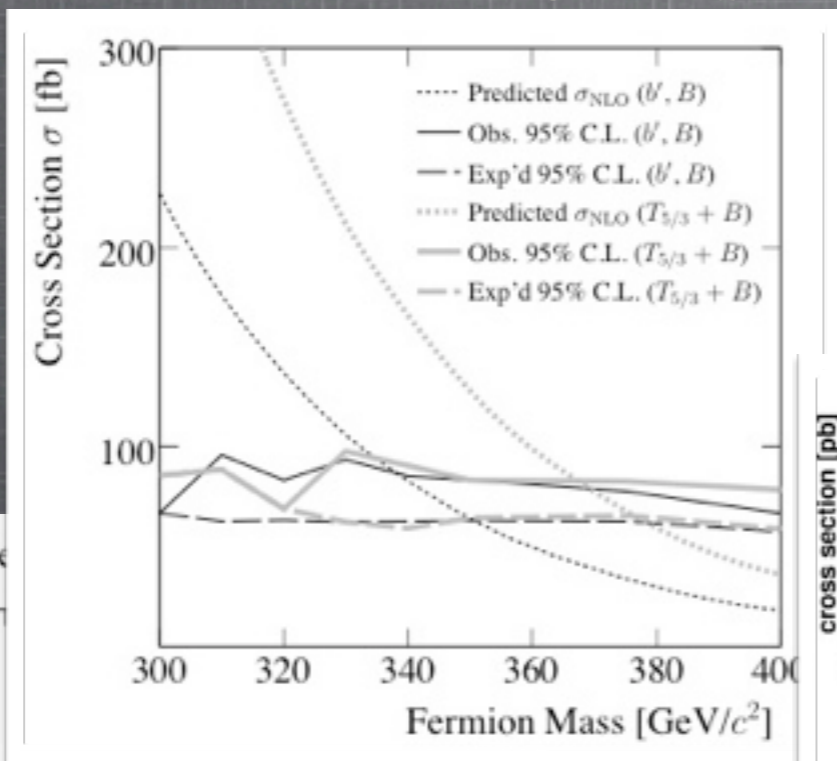
- We report the search of heavy bottom-like 4th generation quark in tW final state at 7 TeV LHC pp collisions.
- The analysis with 34.1 pb⁻¹ CMS data is presented:
 - The expected signal yield for b' (300~500 GeV / c²) is 7.7~0.5 events with this data set.
 - No event found in the signal region.
 - A limit for $b' \rightarrow tW$ signal is set: $M(b') > 357 \text{ GeV} / c^2$ at 95% C.L., comparing to the NLO production cross sections.
- This result extends the current CDF published limit based on an analysis of the same decay signature.
[$M(b') > 338 \text{ GeV} / c^2$, PRL 104, 091801 (2010)]



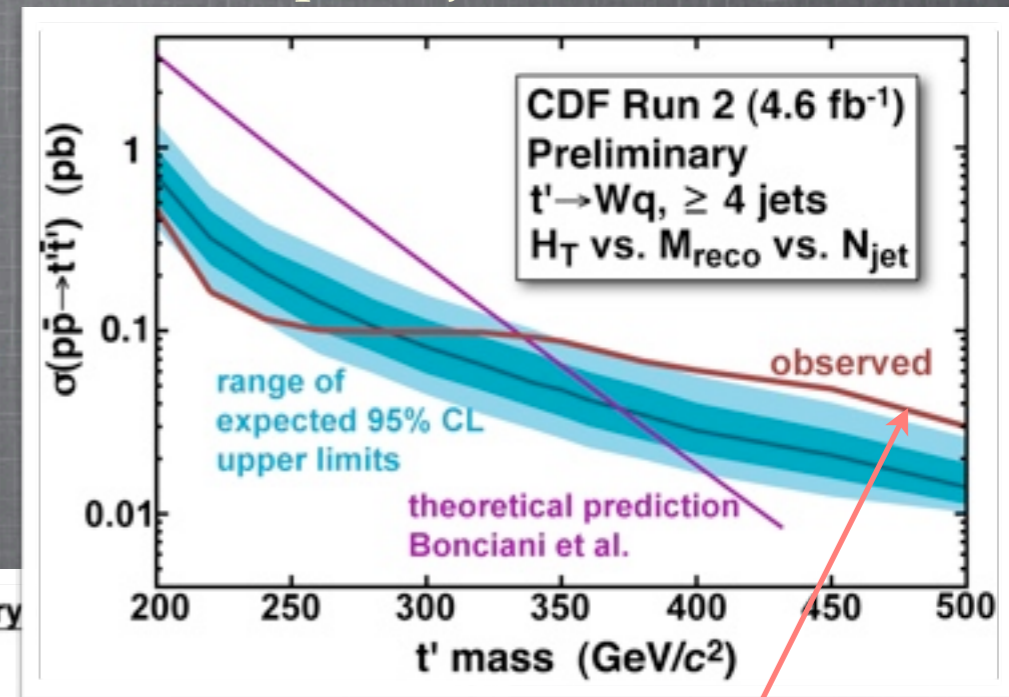
BACKUP SLIDES

TEVATRON LIMITS

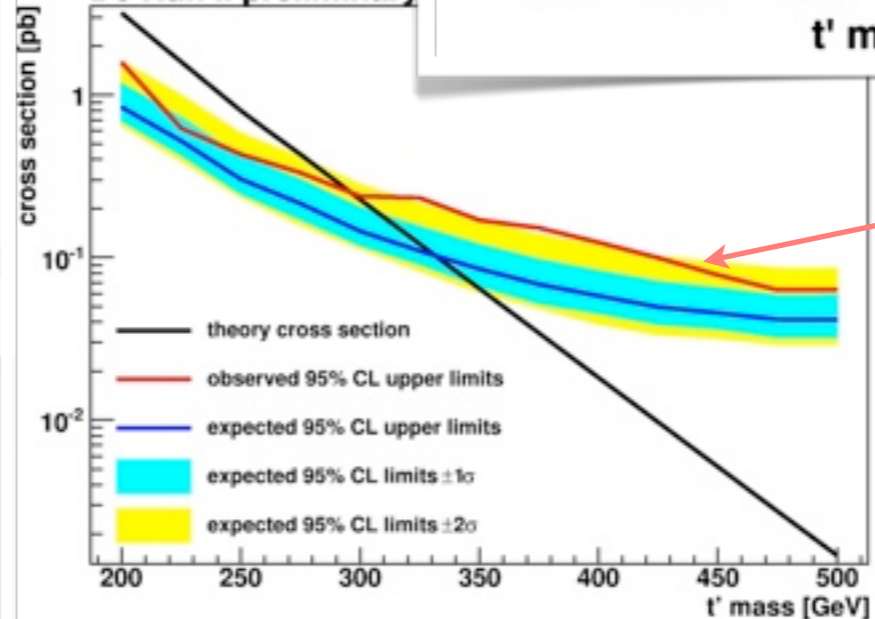
CDF b' same-sign dilepton



CDF t' lepton+jets



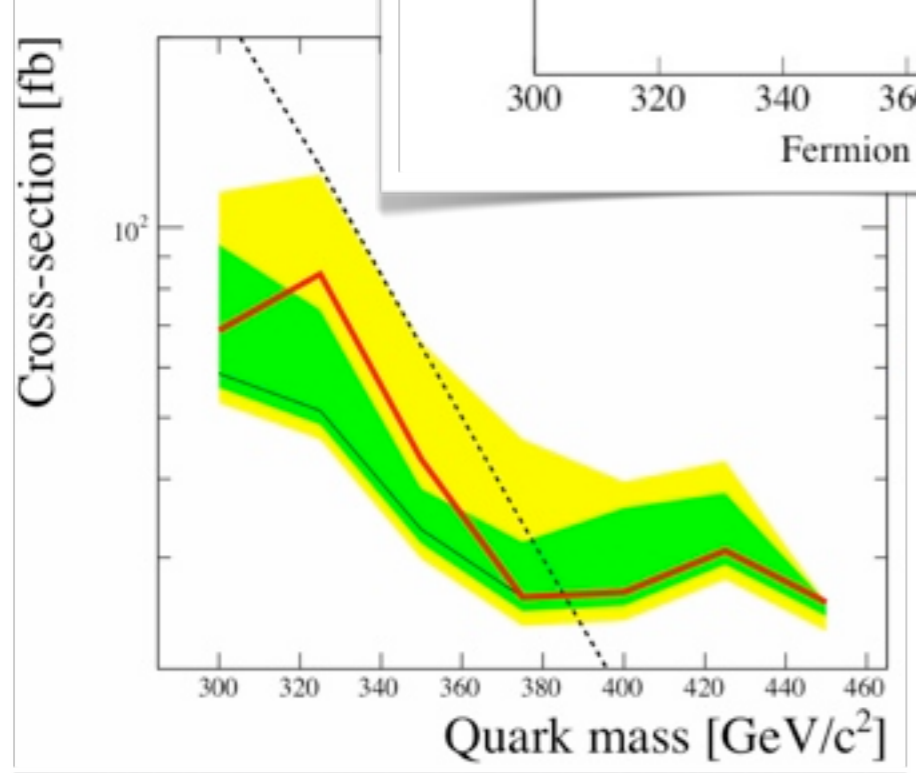
D0 Run II preliminary



Observed limit is slightly above the expected limit for t' data.

D0 t' lepton+jets

CDF Run II Pre



CDF b' lepton+ jets

Tevatron limits on 4th generations:
 b' lepton+jets: $M(b') > 385 \text{ GeV}$
 b' same-sign dilepton: $M(b') > 338 \text{ GeV}$
 t' lepton+jets: $M(t') > 335 \text{ GeV}$ (CDF) / $> 296 \text{ GeV}$ (D0)

SYSTEMATIC UNCERTAINTIES

Signal Efficiencies: $\Delta\varepsilon/\varepsilon$

Source	Input	M(b') =300	M(b') =350	M(b') =400	M(b') =450	M(b') =500
JES	JME-10-010	2.1%	1.8%	1.1%	1.3%	1.1%
JE resolution	10% of p_T	0.3%	0.6%	0.2%	0.1%	0.4%
MET	$\pm 1\sigma$	1.2%	0.5%	0.2%	0.1%	0.1%
Leptons	e: 5.8%, μ : 5.4%	13%	13%	13%	13%	13%
Pile-up jets	0 PU vs 5 PU	1.2%	1.2%	1.1%	1.1%	1.0%
PDF	CTEQ6 uncertainty sets	15%	17%	20%	21%	21%
MC Statistics	-	3.0%	2.7%	2.7%	2.5%	2.4%
Sum		20%	22%	24%	24%	24%

Background Estimation:

Source	Input	$\Delta B/B$
Luminosity	$\pm 11\%$	0%
Method error	-	56%
background cross sections	tt $\pm 39\%$, etc.	5.7%
QCD	$\pm 100\%$	29%
JES	JME-10-010	1.0%
JE resolution	10% of p_T	1.5%
MET	$\pm 1\sigma$	5.6%
Leptons	e: 5.8%, μ : 5.4%	1.5%
pile-ups	0 PU vs 5 PU	<0.1%
PDF	CTEQ6 uncertainty sets	2.1%
Control reg. statistics	-	13%
Sum		65%